

**PATENT APPLICATION****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Gisela **MEIER**, Heinrich **PAJUNK** and Horst **PAJUNK**

Appln. No.: **09/438,759**

Filed: **November 11, 1999**

For: **CONTINUOUSLY CONDUCTIVE UNIPOLAR CANNULA FOR ANESTHESIA**

Attorney Docket No.: **2368.098**

**REQUEST FOR REFUND  
PURSUANT TO 37 C.F.R. §1.28**

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.28, Applicants are entitled to a refund of \$65.00 based on the following:

1. That a "Surcharge - Late filing fee or oath or declaration" of \$65.00 was taken out of the undersigned's deposit account on 01/13/99, see Attachment A, was previous paid at the time of filing on November 11, 1999. Attached hereto as Attachments B and C is a copy of the transmittal letter and postcard showing evidence that the U.S.P.T.O. received said monies.

2. That the executed Declaration and Power of Attorney, Small Entity Declaration and English Language specification and claims were filed in Response to the Notice to Filing Missing Parts with the U.S.P.T.O. on January 5, 2000.

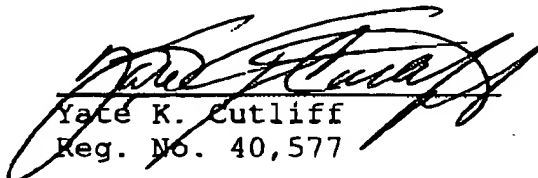
RECEIPT ACCOUNTING  
DIVISION  
JUN 6 2000

U.S. PATENT APPLICATION  
SERIAL NO.: 09/438,759  
REQUEST FOR REFUND

ATTY DOCK: 2368.098

Accordingly, Applicants hereby request a refund of \$65.00  
be credited to our Deposit Account No. 16-0877.

Respectfully submitted,

  
Yate K. Cutliff  
Reg. No. 40,577

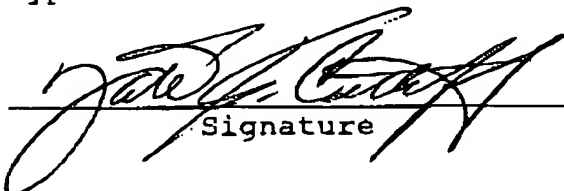
Pendorf & Cutliff  
P.O. Box 20445  
Tampa, Florida 33622-0445  
(813)886-6085  
Dated: **June 6, 2000**

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the foregoing REQUEST FOR REFUND for  
U.S. Application No.: 09/438,759 filed November 11, 1999, is  
being facsimile transmitted to the Patent and Trademark Office,  
facsimile number (703) 305-8007 on this 6<sup>th</sup> day of June, 2000.

Yate K. Cutliff

Type or Print Name of Person Signing Certification

  
Signature

6/6/2000  
Date

**AUTHORIZATION TO CHARGE**

The Commissioner is hereby authorized to charge any  
additional fees which may be required at any time during the  
prosecution of this application without specific authorization,  
or credit any overpayment, to Deposit Account No. 16-0877.

  
Yate K. Cutliff


**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

**MONTHLY STATEMENT**  
**OF DEPOSIT ACCOUNT**

 To replenish your Deposit Account, detach and  
 return top portion with your check. Make check  
 payable to Commissioner of Patents & Trademarks.

Account No.	160877
Date	1-31-00
Page	1

 STEPHAN A. PENDORF  
 C/O DOMINIK & STEIN  
 PO BOX 20445

FINA

TAMPA FL 33622-0445

 PLEASE SEND REMITTANCES TO:  
 Patent and Trademark Office  
 P.O. Box 70541  
 Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
1	13	00	200	09438759	2368/098	205	65.00	4737.50
1	13	00	202	09296221	3881.018	567	30.00	4707.50
1	20	00	21	PCT/US99/12527		704	-9.00	4716.50
1	24	00	201	09211499	3875002	115	110.00	4606.50
1	24	00	406	09423560	3535.009	970	118.00	4488.50
1	24	00	408	09423560	3535.009	966	162.00	4326.50
1	24	00	409	09423560	3535.009	964	312.00	4014.50
1	24	00	410	09423560	3535.009	154	130.00	3884.50
1	31	00	189	5843469	3617/001	569	25.00	3859.50
AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED ST ALWAYS BE ON DEPOSIT.					OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
					4802.50	952.00	9.00	3859.50

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STEPHAN A. PENDORF, P.A.  
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Tampa, FL 33634

5370

63-751/831  
BRANCH 09000PAY  
TO THE  
ORDER OF

DATE 11-11-99

\$ 445<sup>00</sup>

DOLLARS

①

FIRST  
UNIONFirst Union National Bank  
Tampa, Florida  
R/T 063107513

FOR

368.098

⑈005370⑈ ⑈063107513⑈ 2090002 ⑈07375⑈

Atty Docket No.: 368.098 Serial No.: Filing Date:

Applicant: Orinda Meier, et al

Title/Mark: Continuously Conductive Unipolar Cannula for Anesthesia

THE MAIL ROOM STAMP BELOW ACKNOWLEDGES RECEIPT OF THE FOLLOWING  
DOCUMENTS ON THE DATE INDICATED ON THE MAIL ROOM STAMP.

Appl'n for Patent with:

10 pg of specification

3 pg of Claims

3 pg of Drawings ~~Informal~~ Formal

1 pg of Abstract

Power of Attorney

Inventor's Declaration

Small Entity Status Declaration of Inventor

Small Entity Status Declaration of

☐Appl'n for TM/SM with Drawing and  
specimens of the mark☐Appl'n for Copyright Registration and  
deposits.☐

Request for month Extension of Time

☐

Base Issue Fee Forms

☐

Status Inquiry

☒

German Lang Spec, Claims, Draw

☒English Lang Translation with  
Verified Statement☐

Information Disclosure Statement

Amendment Preliminary

Assignment

Letter to Draftsman

pg of Informal Drawings

pg of Formal Drawings

jcs11 U.S. PTO

09/438759

11/11/99

Certificate of Mailing Date of 11-11-99

Express Mail No.: 82935909438US

Check No.: 5370 \$445<sup>00</sup>

# PENDORF & CUTLIFF

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Patent, Trademark, Copyright, Licensing & Entertainment  
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November 11, 1999

**BOX PATENT APPLICATION**  
Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

**SMALL ENTITY**

Re: Application of Gisela MEIER, Heinrich PAJUNK  
and Horst PAJUNK  
"CONTINUOUSLY CONDUCTIVE UNIPOLAR CANNULA FOR ANESTHESIA"  
Our Ref.: 2368/098

Dear Sir:

Attached hereto is the application identified above including the German-language specification, claims and abstract; English-language translation and Verification Statement; three (3) sheets of drawings; and Preliminary Amendment. The Declaration and Power of Attorney and Small Entity Declaration will be filed in the near future.

The Government filing fee is calculated as follows:

Total claims .....	11	- 20 =	_____	x \$ 9 =	\$
Independent Claims .....	1	- 3 =	_____	x \$39 =	\$
Declaration late filing fee.....					\$ 65.00
Base Fee .....					\$380.00

**TOTAL FILING FEE .....**\$445.00

Commissioner of Patents  
and Trademarks  
November 11, 1999  
Page 2

Attorney Docket: 2368.098

A check for the statutory filing fee of \$445.00 is attached. Please charge or credit any difference or overpayment to Deposit Account No. 16-0877. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §1.16 and §1.17 which may be required during the entire pendency of the application to said Account.

Respectfully submitted,

PENDORF & CUTLIFF  
Attorneys for Applicants

By 

Stephan A. Pendorf  
Registration No. 32,665

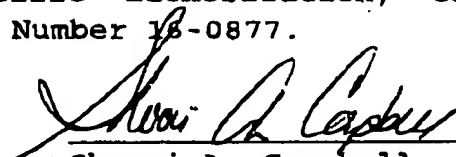
EXPRESS MAIL CERTIFICATE

"EXPRESS MAIL" MAILING LABEL NUMBER: EL435909438US

DATE OF DEPOSIT: November 11, 1999

I HEREBY CERTIFY that the foregoing cover letter including the German-language specification, claims and abstract; English-language translation and Verification Statement; three (3) sheets of drawings; and Preliminary Amendment and a stamped receipt post card are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated and is addressed: ATTN: Patent Application, Commissioner of Patents and Trademarks, Washington, D.C. 20231.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account Number 16-0877.

  
Sherri A. Campbell